

Adaptive Finite Time Prescribed Performance Fault Tolerant Control for Spacecraft Attitude Maneuver*

Ze Yang¹, Baoqing Yang¹, Ruihang Ji² and Jie Ma¹

Abstract—In this paper, an active fault tolerant control method for spacecraft against actuator faults, uncertainties and disturbances is investigated. First, an adaptive iterative learning observer with improved adaptive law is proposed, which greatly improves the accuracy and speed of fault estimation. Then, a novel adaptive finite time prescribed performance fault tolerant controller is proposed, which has flexible performance constraints according to faults and control references, with better robustness and lower conservatism, breaking the limitation of fixed performance constraint. Next, an online optimal control allocation strategy is designed to achieve high-performance actuator allocation under saturation and fault constraints. Finally, through numerical simulation, the effectiveness and robustness of the proposed scheme are illustrated by comparing with existing methods.

I. INTRODUCTION

In recent years, as the attitude control system (ACS) of spacecraft plays a crucial role in space missions [1], how to deal with non-fatal failures autonomously and efficiently has attracted extensive research [2].

The pursuit of high reliability has led to many methods proposed to achieve fault tolerant control (FTC), which can usually be divided into passive fault tolerant control (PFTC) and active fault tolerant control (AFTC) [3], [4]. The PFTC consists of fixed controllers, which has low complexity, but is conservative in terms of performance [5]. By contrast, AFTC needs to design the fault diagnosis (FD) module and reconfigure the controller according to the real-time diagnosis results, which has optimal performance, higher flexibility [6]. But the accuracy and speed of FD directly determine the control performance, so more efficient FD methods are needed.

FD methods mainly include extended state observer [7], Kalman filter [8], iterative learning observer (ILO) [9], sliding mode observer [10], etc. Compared with other methods, ILO has a simple structure, easy design and less computational burden, which is more in line with engineering requirements. However, further improvement is needed to improve the convergence speed and accuracy. Compared with traditional FTC methods such as adaptive control and sliding mode control, prescribed performance control (PPC) can quantitatively design

transient and steady-state performance a priori, and has a broader application prospect [11]. When there are faults or discontinuous references [12], PPC will cause control singularity problems due to unreachable original fixed performance, so its self-adaptive ability needs to be enhanced [13]. However, the current research rarely considers this problem, especially in the field of fault tolerant control. Inspired by the above results, the main contributions of this paper are as follows:

- A novel adaptive ILO (AILO) is proposed for actuator fault diagnosis, adding a more effective adaptive law design to deal with uncertainty and disturbance. The estimation speed and accuracy are improved compared to other methods.
- An adaptive finite time prescribed performance control (AFPPC) FTC method combining terminal sliding mode control is proposed, which can online adjust PPF boundaries. It solves the PPC singularity problem under uncertainty with reduced conservatism.
- We introduce an optimization algorithm to allocate actuators according to actuator faults. The overall energy consumption is reduced, and the faulty actuators can be optimally configured under remaining capacity and saturation constraints.

Remark 1: Compared with the ILO in [6], [9], the proposed AILO greatly improves the accuracy and speed of fault estimation by constructing a novel adaptive law. Compared with [14], [15], the proposed AFPPC adds the ability of adaptively adjusting PPF for faults and control references, avoiding the problem of unreachable performance under faults caused by traditional fixed PPF, and greatly expanding application range. Although a flexible PPF is designed in [13], the problem of discontinuous control reference is not considered.

II. SYSTEM DESCRIPTION AND PROBLEM STATEMENT

This paper uses quaternion $\mathbf{q} = [q_0, \mathbf{q}_v]^T$ to describe the attitude. Let the target quaternion and target angular rate be \mathbf{q}_d and $\boldsymbol{\omega}_d$, then $\mathbf{q}_e = \mathbf{q}_d^{-1} \otimes \mathbf{q}$ and $\boldsymbol{\omega}_e = \boldsymbol{\omega} - C_{bo}\boldsymbol{\omega}_d$ are the error quaternion and angular rate error, respectively. And \otimes is the quaternion multiplication and $C_{bo} = (q_{e0}^2 - \mathbf{q}_{ev}^T \mathbf{q}_{ev})I_3 + 2\mathbf{q}_{ev}\mathbf{q}_{ev}^T - 2q_{e0}\mathbf{q}_{ev}^\times$ is the rotation matrix. The kinematics and dynamics model

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of an overactuated spacecraft can be described as:

$$\begin{aligned} \dot{q}_{e0} &= -\frac{1}{2} \mathbf{q}_e^T \boldsymbol{\omega}_e, \dot{\mathbf{q}}_{ev} = \frac{1}{2} (\mathbf{q}_e^\times + q_{e0} J_3) \boldsymbol{\omega}_e \\ J_a \dot{\boldsymbol{\omega}}_e &= -(\boldsymbol{\omega}_e + C_{bo} \boldsymbol{\omega}_d)^\times J_a (\boldsymbol{\omega}_e + C_{bo} \boldsymbol{\omega}_d) + \\ & J_a (\boldsymbol{\omega}_e^\times C_{bo} \boldsymbol{\omega}_d - C_{bo} \dot{\boldsymbol{\omega}}_d) + (D_0 + \Delta D) \boldsymbol{\lambda} \boldsymbol{\tau} + \boldsymbol{\tau}_d \end{aligned} \quad (1)$$

in which $J_a = J_0 + \Delta J \in \mathbb{R}^{3 \times 3}$ is the actual inertia, including nominal part J_0 and uncertain part ΔJ ; $D_0 + \Delta D \in \mathbb{R}^{3 \times n}$ contains the nominal part D_0 and the uncertainty ΔD , representing the actuators configuration matrix; $\boldsymbol{\lambda} = \text{diag}\{\lambda_1, \lambda_2, \dots, \lambda_n\} \in \mathbb{R}^{n \times n}$ denotes the health index matrix of actuators with $0 \leq \lambda_i \leq 1, i = 1, \dots, n$; $\boldsymbol{\tau} \in \mathbb{R}^n$ represents the control torque generated by n actuators; $\boldsymbol{\tau}_d$ denotes the unknown external disturbances. The skew symmetric matrix for any $\mathbf{c} = [c_1, c_2, c_3]^T$ is denoted by $(\cdot)^\times$, and \mathbf{c}^\times is defined as:

$$\mathbf{c}^\times = \begin{bmatrix} 0 & -c_3 & c_2 \\ c_3 & 0 & -c_1 \\ -c_2 & c_1 & 0 \end{bmatrix} \quad (2)$$

In this paper, multiplicative fault modeling ($D_0 + \Delta D$) $\boldsymbol{\lambda} \boldsymbol{\tau}$ is considered, given that it is mathematically equivalent to additive fault and facilitates subsequent control allocation design. Both actuator saturation and faults are considered, (1) can be rewritten as follows:

$$\begin{aligned} J_0 \dot{\boldsymbol{\omega}}_e &= -\boldsymbol{\omega}^\times J_0 \boldsymbol{\omega} + J_0 \boldsymbol{\omega}_\Delta + D_0 \boldsymbol{\lambda} \text{sat}(\boldsymbol{\tau}) + \mathbf{d} \\ &= -\boldsymbol{\omega}^\times J_0 \boldsymbol{\omega} + J_0 \boldsymbol{\omega}_\Delta + D_0 \mathbf{E}(t) \text{sat}(\boldsymbol{\tau}) \\ \mathbf{d} &= \bar{J} (-\boldsymbol{\omega}^\times J_a \boldsymbol{\omega} + \mathbf{u}_c + \boldsymbol{\tau}_d) + \boldsymbol{\tau}_d + \Delta D \boldsymbol{\lambda} \boldsymbol{\tau} - \boldsymbol{\omega}^\times \Delta J \boldsymbol{\omega} \\ \text{sat}(\tau_i) &= \begin{cases} \tau_i & , \text{if } |\tau_i| \leq \tau_{\max} \\ \tau_{\max} \cdot \text{sgn}(\tau_{\max}) & , \text{otherwise} \end{cases} \end{aligned} \quad (3)$$

where $\boldsymbol{\omega} = \boldsymbol{\omega}_e + C_{bo} \boldsymbol{\omega}_d$, $\boldsymbol{\omega}_\Delta = \boldsymbol{\omega}_e^\times C_{bo} \boldsymbol{\omega}_d - C_{bo} \dot{\boldsymbol{\omega}}_d$, $\mathbf{E}(t) = \boldsymbol{\lambda} + \frac{\mathbf{d}}{D_0 \boldsymbol{\tau}} = \text{diag}\{e_1, e_2, \dots, e_n\}$ is the normalized health index and $\bar{J} = -(J_0 + J_0 (\Delta J)^{-1} J_0)^{-1}$; τ_{\max} is the maximum output torque of a single actuator; $\mathbf{u}_c = D_0 \mathbf{E}(t) \boldsymbol{\tau}$ comes from the top-level controller to be designed.

The first step is to design the FD module to achieve faster and more accurate fault estimation under uncertainties and disturbances, laying a good foundation for FTC. Then we need to design a virtual control law based on adaptive PPC, which can adjust transient and steady-state performance constraints according to faults and commands, and avoid controller singularity. To resolve faults, saturation constraints, and accuracy requirements, a virtual control law must be mapped onto actuators through a novel allocation strategy.

III. ACTIVE FAULT TOLERANT CONTROL SCHEME DESIGN

Three components make up the AFTC scheme proposed in this paper: AILO-FD, AFPPC-FTC and online optimal allocation, as shown in Fig.1.

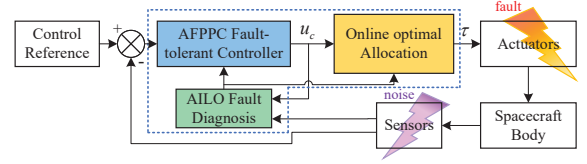


Fig. 1. Active Fault Tolerant Control Scheme.

A. AILO-based FD Mechanism

Inspired by the existing works in [6], [9], an improved AILO-based FD scheme is:

$$\begin{cases} J_0 \dot{\tilde{\boldsymbol{\omega}}} = -\tilde{\boldsymbol{\omega}}^\times J_0 \tilde{\boldsymbol{\omega}} + D_0 \hat{\mathbf{E}}(t) \boldsymbol{\tau} + \mathbf{k}_1(t) \tilde{\boldsymbol{\omega}} + \mathbf{k}_2(t) \text{sgn}(\tilde{\boldsymbol{\omega}}) \\ \hat{\mathbf{E}}(t) = k_3 \hat{\mathbf{E}}(t-T) + \mathbf{k}_4(t) \tilde{\boldsymbol{\omega}} + k_5 \tilde{\boldsymbol{\omega}}(t-T) \end{cases} \quad (4)$$

where $\hat{\boldsymbol{\omega}}$ and $\hat{\mathbf{E}}(t)$ are the estimations of $\boldsymbol{\omega}$ and $\mathbf{E}(t)$, respectively; $\tilde{\boldsymbol{\omega}} = \boldsymbol{\omega} - \hat{\boldsymbol{\omega}}$ is the angular rate estimation error; $\tilde{\mathbf{E}} = \mathbf{E} - \hat{\mathbf{E}}$ is the fault estimation error; $t-T$ represents the value before the time interval T ; k_3, k_5 are positive-definite gain matrices; $k_1(t), k_2(t)$ and $k_4(t)$ are the adaptive control gain to be designed as:

$$\begin{aligned} \dot{k}_1(t) &= \begin{cases} \mu_1 \|\tilde{\boldsymbol{\omega}}\|, & |\tilde{\boldsymbol{\omega}}| \leq \delta_\omega \\ 0, & |\tilde{\boldsymbol{\omega}}| > \delta_\omega \end{cases}, \dot{k}_4(t) = \begin{cases} \mu_4 \|\tilde{\boldsymbol{\omega}}\|, & |\tilde{\boldsymbol{\omega}}| \leq \delta_\omega \\ 0, & |\tilde{\boldsymbol{\omega}}| > \delta_\omega \end{cases} \\ \dot{k}_2(t) &= \begin{cases} \mu_2 \|\tilde{\boldsymbol{\omega}}\| \text{sgn}(\|\tilde{\boldsymbol{\omega}}\| - \chi), & k_2(t) > \bar{k} \\ \gamma, & k_2(t) \leq \bar{k} \end{cases} \end{aligned} \quad (5)$$

in which $\mu_1, \mu_2, \mu_4, \delta_\omega, \bar{k}, \chi$ and γ are positive constants that need to be chosen reasonably. There are fixed upper bound value $\bar{k}_1, \bar{k}_2, \bar{k}_4$ for setting the adaptive gain.

Assumption 1: The angular velocity $\boldsymbol{\omega}$ and its derivative $\dot{\boldsymbol{\omega}}$ are bounded. With a sufficiently small sampling time and known positive scalar σ , $\|\dot{\boldsymbol{\omega}}^\times J_0 \tilde{\boldsymbol{\omega}} - \boldsymbol{\omega}^\times J_0 \boldsymbol{\omega}\| \leq \sigma \|\tilde{\boldsymbol{\omega}}\|$ is satisfied. Besides, the normalized health index is bounded and satisfies $\|\mathbf{E}(t) - k_3 \hat{\mathbf{E}}(t-T)\| \leq \sigma_E$.

Proof: Choose a positive-definite Lyapunov function V_1 and deriving it gives:

$$\begin{aligned} V_1 &= \frac{1}{2} \tilde{\boldsymbol{\omega}}^T \tilde{\boldsymbol{\omega}} + \int_{t-T}^t \tilde{\mathbf{E}}^T(\delta) \tilde{\mathbf{E}}(\delta) d\delta + \frac{1}{2\mu_2} (k_2 - \bar{k}_2)^2 + \\ & \frac{1}{2\mu_1} (k_1 - \bar{k}_1)^2 + \frac{1}{2\mu_4} (k_4 - \bar{k}_4)^2 \\ \dot{V}_1 &= \tilde{\boldsymbol{\omega}}^T \dot{\tilde{\boldsymbol{\omega}}} + \tilde{\mathbf{E}}^T(t) \dot{\tilde{\mathbf{E}}}(t) - \tilde{\mathbf{E}}^T(t-T) \dot{\tilde{\mathbf{E}}}(t-T) + \\ & (k_2 - \bar{k}_2) \frac{\dot{k}_2}{\mu_2} + (k_1 - \bar{k}_1) \frac{\dot{k}_1}{\mu_1} + (k_4 - \bar{k}_4) \frac{\dot{k}_4}{\mu_4} \end{aligned} \quad (6)$$

By combining (3) and (4), the observer error can be obtained as $\tilde{\mathbf{E}}(t) = k_3 \tilde{\mathbf{E}}(t-T) - k_4(t) \tilde{\boldsymbol{\omega}} - k_5 \tilde{\boldsymbol{\omega}}(t-T) + \mathbf{h}(t)$, $\dot{\tilde{\boldsymbol{\omega}}} = \boldsymbol{\omega}^\times J_0 \tilde{\boldsymbol{\omega}} - \tilde{\boldsymbol{\omega}}^\times J_0 \tilde{\boldsymbol{\omega}} + \tilde{\mathbf{E}}_t - k_1 \tilde{\boldsymbol{\omega}}_t - k_2 \text{sgn}(\tilde{\boldsymbol{\omega}}_t)$. Where $\mathbf{h}(t) = \mathbf{E}(t) - k_3 \tilde{\mathbf{E}}(t-T)$. To simplify the representation, $(\cdot)_t$ is represented by $(\cdot)_t$. We can get:

$$\begin{aligned} \tilde{\mathbf{E}}_t^T \tilde{\mathbf{E}}_t &= k_3^2 \tilde{\mathbf{E}}_{t-T}^T \tilde{\mathbf{E}}_{t-T} - 2k_4 k_3 \tilde{\mathbf{E}}_{t-T}^T \tilde{\boldsymbol{\omega}}_t - 2k_5 k_3 \tilde{\mathbf{E}}_{t-T}^T \tilde{\boldsymbol{\omega}}_{t-T} \\ & + 2k_3 \tilde{\mathbf{E}}_{t-T}^T \mathbf{h}_t + k_4^2 \tilde{\boldsymbol{\omega}}_t^T \tilde{\boldsymbol{\omega}}_t + 2k_4 k_5 \tilde{\boldsymbol{\omega}}_t^T \tilde{\boldsymbol{\omega}}_{t-T} - \\ & 2k_4 \tilde{\boldsymbol{\omega}}_t^T \mathbf{h}_t - 2k_5 \tilde{\boldsymbol{\omega}}_{t-T}^T \mathbf{h}_t + k_5^2 \tilde{\boldsymbol{\omega}}_{t-T}^T \tilde{\boldsymbol{\omega}}_{t-T} + \mathbf{h}_t^T \mathbf{h}_t \end{aligned} \quad (7)$$

By Young's inequality, we can conclude that

$$\begin{aligned}
2k_4k_3\tilde{E}_{t-T}^T\tilde{\omega} &\leq a_1k_3^2\tilde{E}_{t-T}^T\tilde{E}_{t-T} + \frac{k_4^2}{a_1}\tilde{\omega}^T\tilde{\omega} \\
2k_5k_3\tilde{E}_{t-T}^T\tilde{\omega}_{t-T} &\leq a_2k_3^2\tilde{E}_{t-T}^T\tilde{E}_{t-T} + \frac{k_5^2}{a_2}\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} \\
2k_3\tilde{E}_{t-T}^T h_t &\leq a_3k_3^2\tilde{E}_{t-T}^T\tilde{E}_{t-T} + \frac{1}{a_3}h_t^T h_t \\
2k_4k_5\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} &\leq a_4k_5^2\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} + \frac{k_4^2}{a_4}\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} \\
2k_4\tilde{\omega}_{t-T}^T h_t &\leq a_5k_4^2\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} + \frac{1}{a_5}h_t^T h_t \\
2k_5\tilde{\omega}_{t-T}^T h_t &\leq a_6k_5^2\tilde{\omega}_{t-T}^T\tilde{\omega}_{t-T} + \frac{1}{a_6}h_t^T h_t \\
\tilde{\omega}_t^T\tilde{E}_t &\leq \frac{a_7}{2}\tilde{\omega}_t^T\tilde{\omega}_t + \frac{1}{2a_7}\tilde{E}_t^T\tilde{E}_t
\end{aligned} \tag{8}$$

There exists a constant ζ such that (6) can be further organized as follows:

$$\begin{aligned}
\dot{V}_1 &\leq [\sigma + \frac{a_7}{2} - \lambda_{\min}(k_1) + 1 + (1 + \zeta + \frac{1}{2a_7})b_2] \|\tilde{\omega}_t\|^2 - \zeta \|\tilde{E}_t\|^2 \\
&\quad + [(1 + \zeta + \frac{1}{2a_7})b_1 - 1] \|\tilde{E}_{t-T}\|^2 + [(1 + \zeta + \frac{1}{2a_7})b_3 - 1] \\
&\quad \|\tilde{\omega}_{t-T}\|^2 - k_2 \|\tilde{\omega}_t\| + (1 + \zeta + \frac{1}{2a_7})b_4 \|h_t\|^2 + (k_2 - \bar{k}_2) \frac{\dot{k}_2}{\mu_2} \\
&\quad + (k_1 - \bar{k}_1) \frac{\dot{k}_1}{\mu_1} + (k_4 - \bar{k}_4) \frac{\dot{k}_4}{\mu_4}
\end{aligned} \tag{9}$$

where $b_1 = (1 + a_1 + a_2 + a_3)k_3^2$, $b_2 = (1 + \frac{1}{a_1} + a_4 + a_5)k_4^2$, $b_3 = (1 + \frac{1}{a_2} + \frac{1}{a_4} + a_6)k_5^2$, $b_4 = 1 + \frac{1}{a_3} + \frac{1}{a_5} + \frac{1}{a_6}$. If μ_1, μ_2, μ_4 are properly selected so that the following relationship holds:

$$\begin{aligned}
\eta + \frac{a_7}{2} - \lambda_{\min}(k_1) + 1 + (1 + \zeta + \frac{1}{2a_7})b_2 &> 0 \\
(1 + \zeta + \frac{1}{2a_7})b_1 - 1 &< 0, \quad (1 + \zeta + \frac{1}{2a_7})b_3 - 1 < 0
\end{aligned} \tag{10}$$

Then the stability of V_1 is satisfied, and the proof is completed. Increasing $\mu_1, \mu_2, \mu_4, \gamma$ can increase the estimation rapidity and the overshoot, while reducing accuracy; reducing δ_ω, χ can improve the accuracy. ■

B. AFPPC Fault Tolerant Controller

Traditional PPC makes the system error satisfy $\rho_1(t) < q_{ev}(t) < \rho_2(t)$, but $\rho_1(t), \rho_2(t)$ is fixed. However, actuator faults will degrade the control performance, making the initial given performance unreachable. In addition, the control reference is not necessarily continuous, which also limits the implementation of traditional PPC [16]. In order to solve the above problems, this paper proposes a novel adaptive finite time prescribed performance function (AFPPF) as follows:

$$\begin{aligned}
\rho_1(t) &= [\text{sgn}(q_{ev}(0)) - \delta_1] \rho_k(t) - \rho_\infty \text{sgn}(q_{ev}(0)) \\
\rho_2(t) &= [\text{sgn}(q_{ev}(0)) + \delta_2] \rho_k(t) - \rho_\infty \text{sgn}(q_{ev}(0)) \\
\rho_k(t) &= \begin{cases} (\rho_{k0}^\tau - \tau \lambda t)^{1/\tau} + \rho_{k\infty}, & t \in [0, t_f] \\ \rho_{k\infty} & , t \in [t_f, \infty] \end{cases}, k=1, 2, \dots
\end{aligned} \tag{11}$$

among them, ρ_1 and ρ_2 are the performance lower bound and upper bound; $\rho_{k0}, \rho_{k\infty} > 0$; $t_f = \frac{\rho_{k0}^\tau}{\tau \lambda}$ is the convergence time chosen by the designer. And k records the number of times the PPF re-converges when the system runs to t_f . In order to enable the PPF to be adaptively updated online according to faults and control references, k records the number of times the performance function re-converges when the control system runs to time t_f . When the control reference and

fault change, $k = k + 1$, and update the parameters according to the following method:

$$\begin{aligned}
\rho_{k0} &= \eta \cdot \max(q_{ev,i}), i = 1, 2, 3 \\
t_f &= \max \left[\sqrt{\kappa \cdot \frac{\pi}{180} \cdot \frac{4\theta_{e,i} J_{ii}}{\tau_{\max} \tilde{E}_i}} \right], i = 1, 2, 3
\end{aligned} \tag{12}$$

where J_{ii} is the inertia moment of the i -th axis; $\theta_{e,i}$ is the Euler angle error under the new control reference, and $q_{ev,i}$ is the error quaternion converted from it; $\eta, \kappa > 1$ are gain margins to increase robustness. Then we introduce error transformation function as (13) to transform the original system with the constrained tracking error into an equivalent unconstrained one.

$$\varepsilon = \ln \left(\frac{q_{ev} - \rho_1(t)}{\rho_2(t) - q_{ev}} \right) \tag{13}$$

where ε is the transformed error, and we can get $\dot{\varepsilon}$ as:

$$\dot{\varepsilon} = \frac{\rho_2 - \rho_1}{(\rho_2 - q_{ev})(q_{ev} - \rho_1)} \left[\dot{q}_{ev} + \frac{\rho_1 \dot{\rho}_2 - \dot{\rho}_1 \rho_2 + (\dot{\rho}_1 - \dot{\rho}_2) q_{ev}}{\rho_2 - \rho_1} \right] \tag{14}$$

Consider a fast terminal sliding surface $\mathbf{s} \in R^{3 \times 1}$ as $\mathbf{s} = \boldsymbol{\omega}_e + \beta_1 \boldsymbol{\varepsilon} + \beta_2 \text{sig}(\boldsymbol{\varepsilon})^r$, where $\beta_1, \beta_2 > 0, 0 < r < 1$, $\text{sig}(\boldsymbol{\varepsilon})^r = \text{diag}(\text{sgn}(\varepsilon_i) |\varepsilon_i|^r)$. The time derivative of \mathbf{s} using (3) and (14) yields:

$$\begin{aligned}
J_0 \dot{\mathbf{s}} &= J_0(\beta_1 \dot{\boldsymbol{\varepsilon}} + \dot{\boldsymbol{\omega}}_e + \beta_2 r \dot{\boldsymbol{\varepsilon}} G_e) \\
&= -\boldsymbol{\omega}^\times J_0 \boldsymbol{\omega} + D_0 E(t) \boldsymbol{\tau} + J_0 \beta_1 \dot{\boldsymbol{\varepsilon}} + J_0 \beta_2 r \dot{\boldsymbol{\varepsilon}} G_e
\end{aligned} \tag{15}$$

where $G_e = \text{diag}(|\varepsilon_i|^{r-1})$, $i = 1, 2, 3$. Following is the proposed fast terminal sliding mode control (FTSMC) law:

$$\mathbf{u}_c = -\boldsymbol{\omega}^\times J_0 \boldsymbol{\omega} - J_0 \beta_1 \dot{\boldsymbol{\varepsilon}} - J_0 \beta_2 r G_e \dot{\boldsymbol{\varepsilon}} - \beta_3 \mathbf{s} - \beta_4 \text{sig}(\mathbf{s})^r \tag{16}$$

where β_3, β_4 are positive-definite gain matrices; and the actuator torque can be obtained by $\boldsymbol{\tau} = [D_0 \hat{\mathbf{E}}(t)]^\dagger \mathbf{u}_c$.

Theorem 1: Consider the system (3) and the sliding mode surface \mathbf{s} . The proposed AFPPC fault tolerant controller (AFPPC-FTC) (16) can guarantee \mathbf{s} and \mathbf{q}_e converge in finite time. In addition, the proposed strategy ensures that the system is always under prescribed performance constraints (11). The stability and performance of FTC can be satisfied by properly selecting $\beta_1, \beta_2, \beta_3, \beta_4$ so that the following inequality holds.

Proof: Firstly, we demonstrate the stability of the sliding surface \mathbf{s} , and the Lyapunov function is selected as $V_1 = \frac{1}{2} \mathbf{s}^T J_0 \mathbf{s}$, and its derivative \dot{V}_1 is:

$$\dot{V}_1 = \mathbf{s}^T J_0 \dot{\mathbf{s}} = \mathbf{s}^T [-\boldsymbol{\omega}^\times J_0 \boldsymbol{\omega} + D_0 E \boldsymbol{\tau} + \beta_1 J_0 \dot{\boldsymbol{\varepsilon}} + \beta_2 J_0 r \dot{\boldsymbol{\varepsilon}} G_e] \tag{17}$$

Substituting \mathbf{u}_c from (16) into (17) gives:

$$\begin{aligned}
\dot{V}_1 &= \mathbf{s}^T [D_0 (E(t) - \hat{E}(t)) \boldsymbol{\tau} - \beta_3 \mathbf{s} - \beta_4 \text{sig}(\mathbf{s})^r] \\
&\leq -\beta_3 \|\mathbf{s}\|^2 - \|\mathbf{s}\|^T \beta_4 \|\mathbf{s}\|^r + \zeta \|\mathbf{s}\| \\
&\leq -(\|\mathbf{s}\|^T \beta_4 \|\mathbf{s}\|^{r-1} - \zeta) \|\mathbf{s}\| \leq -\sum_{i=1}^3 K_i (\|s_i\|^2)^{\frac{r+1}{2}}
\end{aligned} \tag{18}$$

where $\|D_0 (E(t) - \hat{E}(t)) \boldsymbol{\tau}\| \leq \zeta$; K_i is the diagonal element of the matrix $\|\mathbf{s}\|^T \beta_4 \|\mathbf{s}\|^{r-1} - \zeta$. According to $\beta_4 >$

$\zeta/\|s\|^r$, design the appropriate β_3, β_4 to ensure negative definiteness. Then we can get:

$$\dot{V}_1 \leq -\min\{K_i\}2^{\frac{r+1}{2}}V_1^{\frac{r+1}{2}} \quad (19)$$

Let $\alpha = \frac{r+1}{2}$, then the system can reach $s=0$ within a finite time $T \leq V_1^{1-\alpha}/\min\{K_i\}2^{\frac{r+1}{2}}(1-\alpha)$.

We further prove that the stability of q_e as s approaches 0. Consider the following new Lyapunov function $V_2 = \frac{1}{2}\varepsilon^T \varepsilon$. As $s \rightarrow 0$, we have $\omega = -\beta_1 \varepsilon - \beta_2 \text{sig}(\varepsilon)^r$, and substitute (14) to get:

$$\dot{V}_2 = \varepsilon^T \dot{\varepsilon} = -\varepsilon^T A \beta_1 Q \varepsilon - \varepsilon^T A \beta_2 Q \text{sig}(\varepsilon)^r + \varepsilon^T AB \quad (20)$$

where $A = \frac{\rho_2 - \rho_1}{(\rho_2 - q_{ev})(q_{ev} - \rho_d)}$, $B = \frac{\rho_1 \dot{\rho}_2 - \dot{\rho}_1 \rho_2 + (\dot{\rho}_1 - \dot{\rho}_2) q_{ev}}{\rho_2 - \rho_1}$ and $Q = \frac{1}{2}(q_v^x + q_0 I_3)$. Furthermore, $\rho_2 - \rho_1$ is positive and bounded. Due to the nature of $\rho(t)$, it can be deduced that $A \cdot B$ is positive definite. It is not difficult to see that Q determines whether the first two terms in (20) are positive definite. The principal minor values of Q are $q_0, q_0^2 + q_3^2, 0.5q_0$. When the system enters a steady state, q_{ev} will converge to a neighborhood of 0. Therefore, by proving $\dot{V}_1 < 0$ and $\dot{V}_2 < 0$, it is certain that the system will converge in a finite time. ■

C. Online Fault Tolerant Optimal Allocation

For overactuated systems, the control law generated by the controller needs to be assigned to actuators. However, pseudo-inverse method cannot handle optimal allocation under additional constraints. This section introduces second-order cone programming (SOCP) [15] to allocate actuators. Considering that $\hat{E}(t)$ from FD cannot be completely accurate, the relationship between u_c and τ is $u_c = D_0(I_n - E_\Delta)\hat{E}\tau$, where $E_\Delta = \text{diag}(E_{\delta_1}, E_{\delta_2}, \dots, E_{\delta_n})$ is the estimation error. Further considering actuator faults and capability constraints, the optimization problem involves uncertainties is as follows:

$$\tau = \arg \min_{\|\tau_i\| \leq \hat{E}_i \tau_{\max}} \left\{ \tau^T P \tau + h \left\| D_0(I_n - E_\Delta)\hat{E}\tau - u_c \right\|^2 \right\} \quad (21)$$

where the constant $h > 0$, \bar{E} is known positive scalar, and P is a positive definite weight matrix. For the SOCP in (21), the second term represents the process of minimizing the worst-case residuals to obtain the optimal u_c . In this way, the worst residual to control allocation is able to establish some robustness against the fault estimation error. In addition, SOCP represents a secondary objective aimed at minimizing energy consumption, as embodied in the first term.

$$\tau = \arg \min_{\|\tau_i\| \leq \hat{E}_i \tau_{\max}} \left\{ \tau^T P \tau + h \left(\left\| D_0(I_n - E_\Delta)\hat{E}\tau - u_c \right\| + \bar{E} \right)^2 \right\} \quad (22)$$

SOCP formulation can be solved as follows to obtain the solution to the aforementioned problem:

$$\min_{\tau, y_k} y_1, \text{ s.t. } \begin{cases} \| \text{col}(y_2, y_3) \| \leq y_1 \\ \| P^{1/2} \tau \| \leq y_2 \\ \left\| D_0(I_n - E_\Delta)\hat{E}\tau - u_c \right\| \leq \frac{y_3}{\sqrt{h}} - \bar{E} \\ \|\tau\| \leq \hat{E}_i \tau_{\max} \end{cases} \quad (23)$$

where $y_i, i = 1, 2, 3, 4$ are intermediate variables, and $\text{col}(y_2, y_3)$ is a column vector composed of y_2 and y_3 . The above problems can be solved by using numerical optimization software to obtain the optimal τ .

IV. SIMULATION

To verify the effectiveness of the proposed AFTC scheme, numerical experiments based on fixed-step Runge-Kutta ($T = 0.01s$) are performed for a rigid spacecraft with the inertia and disturbances taken as:

$$J_0 = \begin{bmatrix} 43 & 0.3 & 1.2 \\ 0.3 & 52 & -0.5 \\ 1.2 & -0.5 & 40 \end{bmatrix} \text{ kg} \cdot \text{m}^2, \Delta J = 0.1 J_0$$

$$\tau_{d\phi} = 10^{-3} [0.6 \cos(0.05t) \sin(0.11t)] N \cdot \text{m} \quad (24)$$

$$\tau_{d\theta} = 10^{-3} [1 + 3 \sin(0.06t)] N \cdot \text{m}$$

$$\tau_{d\psi} = 10^{-3} [1 + \cos(0.07t) \cos(0.21t)] N \cdot \text{m}$$

The angular rate gyro noise is selected as white noise with a variance of 0.001 degrees. And the actuators configuration matrix is selected as:

$$D_0 = \begin{bmatrix} 1 & 0 & 0 & 1/\sqrt{3} \\ 0 & 1 & 0 & 1/\sqrt{3} \\ 0 & 0 & 1 & 1/\sqrt{3} \end{bmatrix}, \Delta D = 0.01 D_0 \quad (25)$$

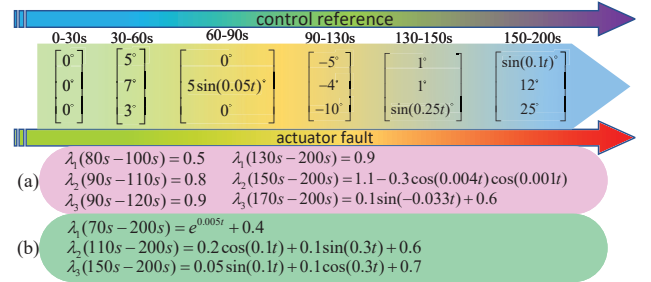


Fig. 2. Actuator Faults Scenario and Control Reference setting.

Four actuators are installed in a three-orthogonal and one-oblique configuration and the 4th redundant actuator is activated after the first detection of fault. In order to verify the proposed AILO algorithm, two scenarios as shown in Fig.2 are set up to verify its ability to track abrupt faults and fast time-varying faults. Considering that in practice the fault will not change as fast as (b), the AFPPC verification will be based on (a). Fig.2 shows the Euler angle time sequences for verifying AFPPF's adaptive adjustment ability.

As shown in Fig.3, comparisons are made in two fault scenarios. Among them, the above is the proposed AILO, and the below is the traditional ILO [6], [9]. It is easy to see that the improved AILO proposed in this paper not only improves the estimation accuracy, but also has a significantly faster speed, which can better meet the real-time requirement and directly improve the AFTC performance. In terms of algorithm complexity, the simulation process takes 3.5411s for AILO and 3.2365s for ILO, which shows that the improved AILO slightly

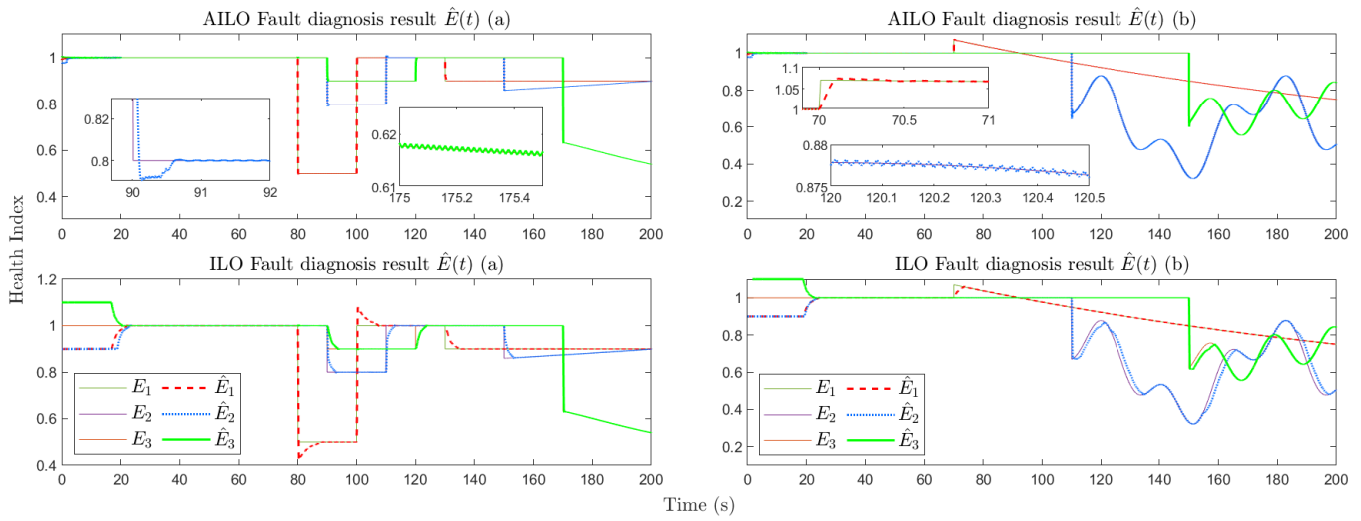


Fig. 3. Actuator Fault Diagnostic Result $\hat{E}(t)$ Based on AILO and ILO.

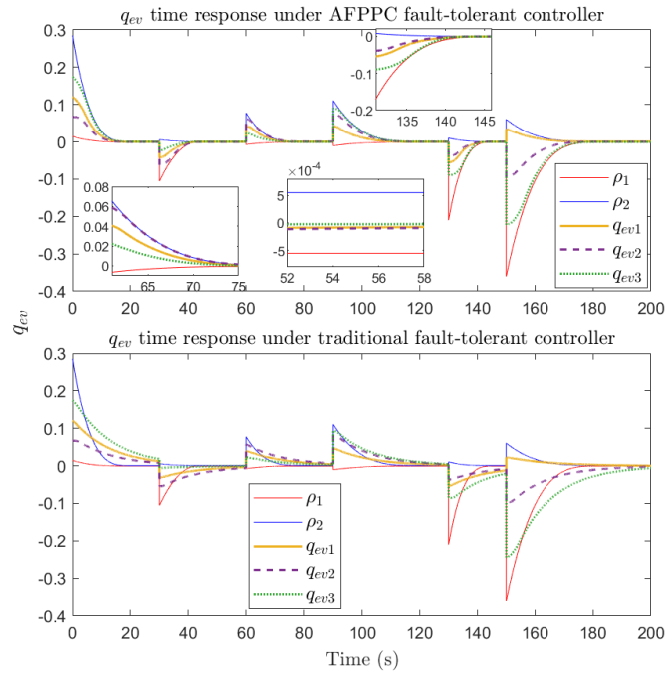


Fig. 4. Time Response of q_{ev} with performance constraints.

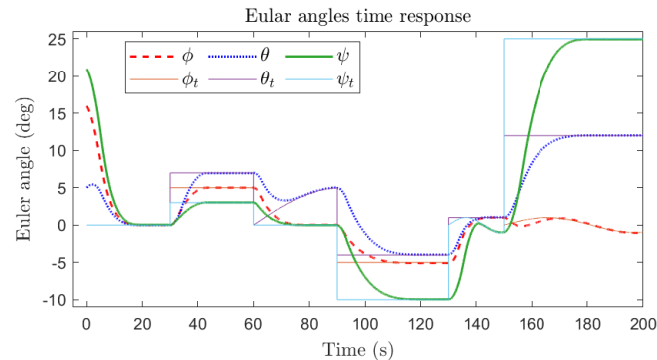


Fig. 5. Euler angle Time Response under AFPPC-FTC.

TABLE I

Comparison of estimation RMSE of AILO and ILO

| Method | Scenario | RMSE E_1 | RMSE E_2 | RMSE E_3 |
|--------|----------|------------------------------|------------------------------|------------------------------|
| AILO | (a) | $3.69e-05$ | $2.43e-04$ | $1.49e-04$ |
| | (b) | $1.01e-04$ | $2.63e-04$ | $6.11e-05$ |
| ILO | (a) | 0.0084 | 0.009 | 0.0093 |
| | (b) | 0.0107 | 0.0092 | 0.0091 |

increases the complexity of the algorithm. Table I shows the comparison of the RMSE values of the two methods under different fault conditions. It can be seen that the improved AILO with adaptive law has higher accuracy.

Based on the AILO-FD, the accurate diagnosis results of actuators are given, and then the proposed AFPPC-FTC generates virtual control law according to the health status of the actuators to offset the faults.

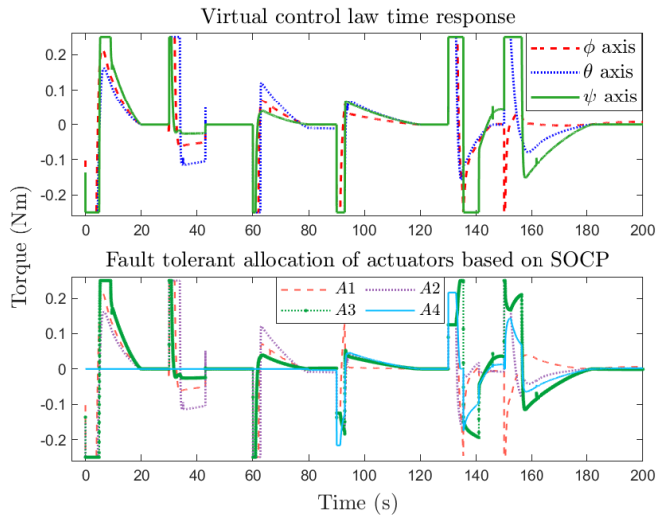


Fig. 6. Virtual Control Law and SOCP Fault Tolerant Allocation.

Fig.4 illustrates q_{ev} output responses based on AF-

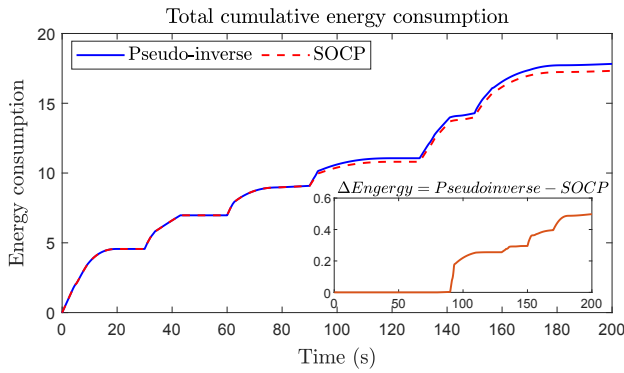


Fig. 7. Comparison of Energy Consumption.

PPC and traditional FTSMC respectively. Traditional controllers do not have prescribed performance, and therefore cannot be quantitatively designed, resulting in the inability to fully utilize the capabilities of the system. PPC can directly correlate transient and steady-state performance with controller parameters, reducing conservatism. However, the traditional PPC cannot handle discontinuous control commands, and the initial parameters are fixed, which limits the application.

The improved AFPPC can adaptively adjust the performance constraint and dynamically update it according to the control command and the health status of the actuator. In addition, it also solved the problem that traditional PPC cannot be applied to non-continuous control sequences. Fig.5 demonstrates the Euler angles time response and the corresponding commands more clearly and intuitively. With the proposed AFTC scheme, the system can still achieve prescribed accuracy and transient performance. Compared with the traditional PPC, the improved AFPPC hardly increases the computational complexity.

Next, the actuator saturation is considered in simulation, τ_{\max} of actuators is set as $0.25Nm$. As shown in Fig.6, the virtual control law u_c generated by AFPPC-FTC and the actuator torque allocated online by SOCP are given respectively. As a comparison, the SOCP can not only achieve precise allocation, but also find the optimal solution within the limit constraints according to the health status of actuators. To examine the effectiveness, we use $\int_0^t \|\tau(\delta)\|^2 d\delta$ as an indicator to evaluate energy consumption. It is not difficult to see from Fig.7 that the SOCP method mentioned in (21-23) is also slightly better than the pseudo-inverse allocation.

V. CONCLUSIONS

In this study, an AFTC scheme applied to attitude maneuvers is proposed. Among them, AILO has improved the adaptive law with higher estimation accuracy and convergence speed. Meanwhile, the robustness to uncertainty and disturbance is guaranteed. AFPPC-FTC can adaptively adjust the transient and steady state performance according to the faults and commands.

There is no need to repeatedly design controller parameters, avoid singularity in PPC caused by unreachable performance, and reduce the conservatism. The SOCP based online optimal allocation algorithm can realize the fault tolerant allocation of actuators with better performance under the constraints of saturation and faults. In addition, numerical simulations are used to demonstrate the effectiveness and superiority of the proposed method. Moreover, how to handle faults when the system enters a steady state and without new discontinuous reference needs to be further studied in the future.

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